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PATENT
ATTY. DOCKET NO. IBM/67DV1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Galbraith et al.
Serial No. : 09/935,939
Filed : August 23, 2001
For : ADVANCED READ CACHE MANAGEMENT

Art Unit: 2187
Examiner: Brian Peugh

Assistant Commissioner of Patents
BOX: AF FEE
Washington, DC 20231

RECEIVED

MAY 02 2003

TRANSMITTAL OF APPEAL BRIEF

Technology Center 2100

1. Transmitted herewith, in triplicate, is the APPEAL BRIEF in this application, with respect to the Notice of Appeal filed on February 24, 2003 together with Amendment for Appeal.

2. STATUS OF APPLICANT

This application is on behalf of:

- ☒ Other than a Small Entity
☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status

3. FEE FOR FILING APPEAL BRIEF

Pursuant to 37 CFR 1.17(f), the fee for filing the Appeal Brief is:

- ☐ Small Entity (\$160.00)
☒ Large Entity (\$320.00)

Appeal Brief Fee Due \$ 320.00

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid in an envelope addressed to: Assistant Commissioner of Patents, Box AF FEE, Washington, D.C. 20231 on April 24, 2003

Laura G. Koehler
Laura G. Koehler

4. EXTENSION OF TERM

The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.

- (a) _____ Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

Extension (months)	Fee for other than small entity	Fee for small entity
_____ one month	\$ 110.00	\$ 55.00
_____ two months	\$ 410.00	\$205.00
_____ three months	\$ 930.00	\$465.00
_____ four months	\$1,450.00	\$725.00
_____ five months	\$1,970.00	\$985.00

Extension fee due with this request \$ _____.

If an additional extension of time is required, please consider this a petition therefor. (Check and complete the next item, if applicable)

_____ An extension for _____ months has already been secured and the fee paid thereof of \$ _____ is deducted from the total fee due for the total months of extension now requested.
Extension fee due with this request \$ _____.

OR

- (b) X Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.

5. TOTAL FEE DUE

The total Fee due is:

Appeal Brief Fee of \$ 320.00.

Extension Fee (if any) \$ 0.

TOTAL FEE DUE \$ 320.00

6. FEE PAYMENT AND FEE DEFICIENCY

X Attached is a check in the sum of \$ 320.00.

X If any additional fee for claims or extension of time is required, charge Account No. 23-3000.

Respectfully submitted,



Thomas W. Humphrey
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